

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/030,532	05/20/2002	Rolf Hartung	EF377398953US	4148
21003 7.	590 02/28/2007		EXAMINER	
BAKER & BO		,		
44TH FLOOR			ART UNIT	PAPER NUMBER
NEW YORK,	NY 10112-4498			

DATE MAILED: 02/28/2007

Please find below and/or attached an Office communication concerning this application or proceeding.

Notification of Non-Compliant Appeal Brief (37 CFR 41.37) HARTUNG, R Examiner Art Unit

Application No.

10/030,532

HARTUNG, ROLF

Examiner

James Keenan

Art Unit

3652

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on <u>13 December 2006</u> is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file anamended brief or other appropriate correction (see MPEP 1205.03) within ONE MONTH or THIRTY DAYS from the mailing date of this Notification, whichever is longer. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.

- 1. The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
- 2. The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
- At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
- 4. (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
- 5. The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi))
- The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
- 7. The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
- 8. The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner and relied upon by appellant in the appeal, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
- The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
- 10. ☑ Other (including any explanation in support of the above items):

See Continuation Sheet.

ames Keenan Primary Examiner Art Unit: 3652 Continuation of 10. Other (including any explanation in support of the above items):

- #2: All claims (including those canceled) must be listed.
- #4: Not every element of independent claim 17 is listed and mapped to the specification and drawings (this must be done in the "summary of claimed subject matter" section of the brief, not in the "argument" section).
- #5: In section "c", the reference to the previous (no longer pending) 112/1st paragraph rejection of claim 17 is improper; furthermore, the objection to the specification is a petitionable, not appealable, matter.
- #8: In section "a" of the argument, appellant refers to a website as evidence of the meaning of the term "front". A copy of this evidence must be submitted as an appendix if it was previously entered. No new evidence can be submitted in an appeal brief. Therefore, if this evidence was not previously entered, it can not be relied upon in the appeal and any reference thereto must be deleted.
- #10: As noted above re #5, section "c" of the argument, referring to a no longer pending rejection, is improper, and the objection to the specification is a petitionable, not appealable, matter. If appellant wishes to argue the denial of the entry of the amendment to the specification, an appropriate petition should be filed.